

Cannabis, CBD, and Hemp Program INSURANCE SERVICES Package Application **Package Application**

Submit a completed Application and SOV: Submit Online: admin.canngenins.com

OR

Email To: cannapp@canngenins.com

Section 1: Account Information					Effective Date	•	/ /
Legal Business Name: DBA:							
DBA: Mailing Address:							
Enterprise Type: Corporation Partr							
Use: ☐ Cannabis-Rec ☐ Cannabis-Med	☐ Cannabis	s-Both □	CBD	□ Hemp □	☐ Non-Cannab	is Sales [☐ Other:
Operations (Check ALL): Cultivation		Processor		□ Whole	esale 🗆 I	Distributio	on
☐ Manufacturer/Extraction ☐ Transportation ☐ Retail - CBD ☐ Other:	ion \square	l Delivery	Operatio	ons □ Retail	- Cannabis		
Years in Business: ☐ New Venture If new venture, do any of the principals I		•		•	ears CBD, or hemp i		r 10 years ☐ Yes ☐ No
Is the applicant a member of any cannabis, C	CBD, or hemr	n trade ass	ociation	s? □ Yes	□ No		
If yes, who? □ NCIA □ CCIA □	_	-					
Year Total Sales	State: S	State:	State:	State:	State:	State:	Additional
Next 12 Months (Projected)							
Historical Year 1							
Historical Year 2							
Historical Year 3							
		 					
Historical Year 4							
Historical Year 4 Section 2: Account & Loss/Insurance Historical Year 4	istory <u>Mu</u>	ust answer a	ll questio	ns. Failure to	lisclose history n	nay invalid	late coverage.
Section 2: Account & Loss/Insurance His 1. Yes No Has any application owner, officer, direct	n for similar ir ctor, employe	nsurance m	nade on ar	behalf of the naging memb	applicant and/ er thereof or a	or any pri ny predec	incipal, partner, cessor,
Section 2: Account & Loss/Insurance His 1. □ Yes □ No Has any application	n for similar ir ctor, employe tted organizati currently have	nsurance mee, manage ion thereof	nade on br or mar f ever be	behalf of the naging membeen declined,	applicant and/ er thereof or a cancelled or n	or any pri ny predec	incipal, partner,
Section 2: Account & Loss/Insurance His 1.	n for similar ir ctor, employe tted organizati currently have	nsurance mee, manage ion thereof	nade on ber or mar f ever be	behalf of the naging membeen declined,	applicant and/ er thereof or a cancelled or n	or any pr ny predec on-renew	incipal, partner, cessor,
Section 2: Account & Loss/Insurance His 1.	n for similar in ctor, employe tted organizati currently have tailed information	nsurance mee, manage ion thereof e commercion below.	nade on ber or mar f ever be	behalf of the naging memb een declined, rance covera	applicant and/ er thereof or a cancelled or no ge?	or any pr ny predec on-renew	incipal, partner, cessor, red?
Section 2: Account & Loss/Insurance His 1.	n for similar in ctor, employe tted organizati currently have tailed information	nsurance mee, manage ion thereof e commercion below.	nade on ber or mar f ever be	behalf of the naging memb een declined, rance covera	applicant and/ er thereof or a cancelled or no ge?	or any pr ny predec on-renew	incipal, partner, cessor, red?
Section 2: Account & Loss/Insurance His 1.	n for similar in ctor, employe tted organizati currently have tailed information	nsurance mee, manage ion thereof e commercion below.	nade on ber or mar f ever be	behalf of the naging memb een declined, rance covera	applicant and/ er thereof or a cancelled or no ge?	or any pr ny predec on-renew	incipal, partner, cessor, red?
Section 2: Account & Loss/Insurance His 1.	n for similar ir ctor, employe ted organizati currently have tailed informatic Number	nsurance mee, manage ion thereof e commercion below. Covera	nade on ar or mar f ever be cial insurage	behalf of the naging member declined, rance coverage Limits	applicant and/er thereof or a cancelled or nege? Expiration or losses in the	or any property on a property on a property on a property on a property of the	incipal, partner, cessor, red? Premium ears?
Section 2: Account & Loss/Insurance His 1.	n for similar ir ctor, employe ted organizaticurrently have etailed informatic Number ad any prior li_Attach current to rany princi	nsurance mee, manage ion thereof e commercion below. Covera	nade on er or mar f ever be cial insurage d/or projetthin past ter, owne	behalf of the naging member declined, rance coverage Limits perty claims of the polynomial polyno	applicant and/er thereof or a cancelled or nege? Expiration or losses in the ms including detailed.	or any property on a property on a property on a party of the past 5 years or mana.	ears? es that were denied. ging member of
Section 2: Account & Loss/Insurance His 1.	ad any prior li Attach currents tor any prior li attor (s) propo	nsurance mee, manage ion thereof e commercion below. Covera iability and the valued (with ipal, partnerse osed for this evicted of a	nade on er or mar f ever be cial insurance do not be cial insurance do	behalf of the naging member declined, rance coverage Limits Description of DUI in the naging member to the naging	applicant and/er thereof or a cancelled or nege? Expiration or losses in the ns including detailed ector, manager edecessor, sub	or any property on predection on renew to Date e past 5 year or mana sidiary, or	ears? es that were denied. ging member of
Section 2: Account & Loss/Insurance His 1.	ad any prior la at or any princit or any princit or any princit or any prior lation(s) proposition between compliance we compliance we compliance with the compliance of the compliance we compliance with the compliance of the compliance with the c	iability and ipal, partner for the defense of a defelony/misaryith all locarying management of the control of the control of a defelony/misaryith all locaryith and locaryith all locaryith and the control of the contr	d/or propithin past (see, owners insurance)	behalf of the naging member declined, rance coverage Limits perty claims of days) loss ruer, officer, diruce or any property or DUI in the lice.	applicant and/er thereof or a cancelled or nege? Expiration or losses in the including detail ector, manager edecessor, sub	or any property on any predection on renew to Date to the past 5 years or managesidiary, or managesidi	ears? es that were denied. ging member of or affiliated
Section 2: Account & Loss/Insurance His 1.	ad any prior la ation(s) proposed been conseljail time served compliance washis, CBD, or currently hole	iability and the partner for the commerce of the commerce of the control of the c	d/or propithin past in felony of the demeanor) al & state	behalf of the naging member declined, rance coverage Limits perty claims and perty claims and perty claims are coveraged as and perty claims are considered as a second perty claim and perty claims are considered as a second perty claim and perty claims are coveraged as a second perty claim are co	applicant and/er thereof or a cancelled or nege? Expiration or losses in the instituting detail ector, manager edecessor, sub e last 10 years?	or any property on any predection on renew to Date to the past 5 years or managesidiary, or managesidi	ears? es that were denied. ging member of or affiliated

Sec	ction	3: Ge	nera	al Lia	ability / Excess / Property Enhancements
1.	□ Y	es □	No	Do a	ny locations owned/operated by the applicant have a pool, pond, or other water exposure?
				If yes	s, please describe and provide location/building number:
2.		l'es □	No	Does	anyone live at any location that is owned/operated by the applicant?
3.		les □	No	Are t	here any dogs at any location that is owned/operated by the applicant?
4.		l'es □	No	Are t	here any firearms at any location that is owned/operated by the applicant?
5.		l'es □	No	Does	the applicant sub-contract their security guard services?
6.	□ Y	les □			the applicant maintain daily written records of all cannabis, CBD, and hemp containing acts, including the purchase date, type of product and purchase price?
Ge	nera	ıl Liabil	ity l	Limit	S
	\$1,00	00,000 c	occu	rrence	e/\$1,000,000 aggregate ☐ \$2,000,000 occurrence/\$2,000,000 aggregate
		•			e/\$2,000,000 aggregate
Ge	nera	ıl Liabil	ity l	Endo	rsements - <u>Optional</u>
1.		Yes □	No	In	clude Hired and Non-Owned Auto? If yes, please complete 1a-1d.
	a.	□ Yes		No	Do all drivers maintain a personal auto policy, and keep it in force at all times?
	b.	□ Yes		No	Is any driver allowed to drive with any DUI, DWI, or reckless driving violations?
	c.	□ Yes		No	Are MVRs collected by all drivers employed by the applicant?
	d.	□ Yes		No	Does applicant or employees of applicant make any deliveries directly to patients or customers from
	u.	□ 1 CS		110	the retail location?
		** You	u mu	st ansv	wer all questions for HNOA to be considered for this coverage endorsement. Delivery to the consumer and Transportation/Distribution operations are <u>not eligible</u> for the HNOA endorsement. **
2.		Zes □	No	In	clude Stop Gap? (WA, OH, & NE Only)
			No		clude Pesticide/Herbicide Applicators Endorsement? (WA & MA Only)
					□ \$50,000 occurrence/aggregate limit
					□ \$250,000 occurrence/aggregate limit
Exc	cess	Liabilit	y Li	mits	☐ Check box if you want to decline excess coverage at this time
	\$1,00	00,000			□ \$2,000,000 □ \$3,000,000 □ \$4,000,000
					pplied if \$2,000,000 occurrence was requested under the General Liability Limits section. Each excess layer added will and aggregate limits. This Excess Liability applies to <u>General Liability only</u> and does not apply to Product Liability**
Pro	per	ty Endo	rsei	nents	S – Optional – PLEASE COMPLETE THE CANNGEN SOV FOR LOCATION SPECIFICS
1.		Yes □	No	Pro	operty Extension Endorsement: If yes, please complete 1a-1j. Form A - \$1,000/location Form B - \$1,500/location Form C - \$2,000/location Form D - \$1,000/location Form E - \$1,500/location Form F - \$2,000/location
	a.	□ Yes		l No	Will the applicant transport marijuana/cannabis living plants to other business?
	b.	□ Yes		l No	Will the applicant transport harvested, processed, or finished marijuana/cannabis to other business?
	c.	□ Yes		l No	Will the applicant deliver any marijuana/cannabis products directly to the consumer?
	d.	□ Yes		l No	Will the vehicles that transport the applicant's property and/or money and securities from the scheduled premises have an active alarm system? (MUST BE YES TO QUALIFY) If yes, does it include LoJack or some other tracking service? Yes No
	e.	□ Yes		l No	Are drivers allowed to make personal stops when transporting goods?
	f.	□ Yes		l No	Are drivers allowed to take any cannabis inventory and/or money home?
	g.	\square Yes		l No	Does the applicant screen/collect DMV records from all drivers? (MUST BE YES TO QUALIFY)

h. \square Yes \square No Does the applicant allow any firearms or weapons in the vehicles?						
i. ☐ Yes ☐ No Does the applicant have a lock box that is bolted to all vehicles? (MUST BE YES TO QUALIFY)	<u>)</u>					
j. \square Yes \square No Does the applicant provide lifts, ride share or other livery type operations?						
2.						
Section 4: Product Liability Check box if you want to decline product liability at this time						
1. ☐ Yes ☐ No Does the applicant maintain daily written records of all cannabis, CBD, hemp and inventory of non-cannabis products, including purchase date, type of product, purchase price and who it was purchased from?						
2. ☐ Yes ☐ No Does the applicant have a quality assurance/product recall plan in place?						
3. □ Yes □ No Does the applicant test 100% of the cannabis, CBD, and hemp products prior to distribution? If yes, does the applicant perform their own testing? □ Yes □ No If no, provide name of the testing laboratory they are contracted with. Lab Name:						
4. ☐ Yes ☐ No Does the applicant test 100% of all products manufactured for any level of gas/solvent residue? If yes, will the applicant destroy 100% of the products found with unsafe gas residue(s)? ☐ Yes ☐ No						
5. ☐ Yes ☐ No Does the applicant use software to track sales and pertinent transaction data such as who, when and what was purchased?						
6. ☐ Yes ☐ No Will the applicant follow to the best of their abilities all Consumer Product Safety Commission regulations as it would pertain to the withdrawal and/or recall of defective products?						
7. ☐ Yes ☐ No Does the applicant have a communication and complaint handling procedure?						
8. Yes No Does the applicant know of any products that were either voluntarily or mandatory recalled/withdrawn in the past 5 years? If yes, please provide the total number of recalls/withdrawals the applicant has had in the past 5 years? #						
9. If there are <u>retail operations</u> for this applicant, please complete 9a-9b.						
a. ☐ Yes ☐ No Does the applicant require each supplier to have their products tested?						
b. ☐ Yes ☐ No Does the applicant maintain supplier contracts, records, and invoices for 5 years or more? If no, how long does the applicant maintain records?						
10. If there are <u>cultivation operations</u> for this applicant, please complete 10a-10d.						
a. What form of pest prevention is the applicant using? \square Pesticides \square Organic \square Other: \square \square N/A						
b. ☐ Yes ☐ No Does the applicant apply their own pesticides? If no, does the applicant get a copy of the contracted company's insurance before any work begins? ☐ Yes ☐ No						
c. ☐ Yes ☐ No Does the applicant follow all state and federal laws with regards to the use, storage, and disposal of pesticides?						
d. ☐ Yes ☐ No Is the applicant aware of any past or current pesticide issues that would result in a loss or claim?						
Product Liability Limits & Endorsements						
\square \$1,000,000 each claim/\$1,000,000 aggregate \square \$2,000,000 each claim/\$2,000,000 aggregate						
□ \$1,000,000 each claim/\$2,000,000 aggregate □ \$3,000,000 each claim/\$3,000,000 aggregate						
S5,000,000 each claim/\$5,000,000 aggregate Retro Date (NOT AUTOMATICALLY INCLUDED)						
□ 1 Year Retro Date □ 2 Year Retro Date □ 3 Year Retro Date □ 4 Year Retro Date □ 5 Year Retro Date						
If adding retro date, please include the loss runs and premiums for each prior year						
Product Withdrawal Coverage Limits & Deductibles Check the box if you want to opt-out of product withdrawal						
□ \$100,000 Max Expense Limits **Default □ \$250,000 Max Expense Limits						
□ \$1,000 Deductible **Default □ \$5,000 Deductible						
\square \$5,000 Deductible \square \$10,000 Deductible						
□ \$25,000 Deductible						

Please complete "Products List" attached or attach a document listing types of products.

CANNABIS PRODUCT LIST BY TYPE

	☐ Cannabis Flower			
	☐ Pre-Rolls			
	☐ Edibles			
	☐ Topical	Other.		
NON-CA	NNABIS PRODUCT BY	TYPE ACCESSOF	RIES OR MERCHA	NDISE
☐ Ash Trays	☐ Blunt Wraps	☐ Vape Battery Cl	nargers \square Cor	nes
☐ Dab Rings	☐ Dab Tools	☐ Bong Wash	☐ Gri	nders
☐ Batteries	☐ Joint Paper	☐ Vape Equipmen	t □ Joir	nt Rollers
☐ Joint Rolling Trays	☐ Lighter Holders	☐ Roach Clips	□ Scro	eens
☐ Torch Lighters	☐ Other:	☐ Other:	□ Oth	er:
Vape cartridges/pens (equipment and accessories	s) is manufactured nestic □ Foreign	or distributed by w	hich kind of vendor:
Section 5: Additional Insure	eds □ Check box if there a	re NO additional insur	eds needed at this time a	and skip section 5
☐ General Liability		☐ Property		☐ Product Liability
Additional Insured (Check ONE)			
\square Landlord \square Loss Payee	☐ Governmental Agency	_		☐ Other:
_		(products)	(products)	
☐ Waiver of Subrogation (mu	st be required by contract)			
☐ Primary/Non-Contributory	Wording (must be required	by contract)		
Location/BLDG #/	Name:			
Mailing Address:		City:	State:	Zip Code:
☐ General Liability		☐ Property		☐ Product Liability
Additional Insured (Check ONE)			•
	☐ Governmental Agency	☐ Single Vendor (products)		☐ Other:
☐ Waiver of Subrogation (mu	st be required by contract)	•	(products)	
☐ Primary/Non-Contributory	Wording (must be required	by contract)		
Location/BLDG # /	Name:			
Mailing Address:		City:	State:	Zip Code:
☐ General Liability		☐ Property		☐ Product Liability
Additional Insured (Check ONE)			
☐ Landlord ☐ Loss Payee	☐ Governmental Agency	☐ Single Vendor (products)	☐ Blanket Vendor (products)	☐ Other:
☐ Waiver of Subrogation (mu	st be required by contract)		(Products)	
☐ Primary/Non-Contributory	Wording (must be required	by contract)		
Location/BLDG #/	Name:			
Mailing Address:		City:	State:	Zip Code:

E	nforceme	ent of the (Controlled Substance Act (CANNABIS RISKS ONLY)
1.	□ Yes	□ No	Does the applicant prevent the distribution of marijuana/cannabis to minors?
2.	□ Yes	□ No	Does the applicant prevent the revenue from sale of marijuana/cannabis from going to criminal enterprises?
3.	□ Yes	□ No	Does the applicant prevent possible diversion of marijuana/cannabis from states where medicinal and/or recreational use of cannabis products is legal under state law to states where medicinal and/or recreational use of cannabis products is not legal under state law?
4.	□ Yes	□ No	Does the applicant prevent the use of state-authorized marijuana/cannabis activity as a cover or pretext for the trafficking of other illegal drugs or other illegal activity?
5.	□ Yes	□ No	Does the applicant have a program or safeguards in place to prevent violence and the use of firearms in the cultivation and distribution of marijuana/cannabis?
6.	□ Yes	□ No	Does the applicant prevent drugged driving or other possibly adverse public health consequences associated with marijuana/cannabis use?
7.	☐ Yes	\square No	Does the applicant either grow or purchase marijuana/cannabis grown on public lands?
8.	☐ Yes	□ No	Does the applicant prevent the possession or use of their product on federal property?

Important Property and Crop Warranties, Safeguards, and Definitions

LOCKED SAFE WARRANTY - "MARIJUANA INVENTORY"

All "Marijuana Inventory" items are to be kept locked in a safe or a vault room at all times during business and non-business hours except for" Marijuana Inventory" on display during business hours.

It is further warranted that any safe used to house "Marijuana Inventory" will meet the following requirements:

- 1. All safes must have a 1-hour fire rating
- 2. For safes under 400 pounds:
 - a. If the "Marijuana Inventory" limit is greater than \$100,000 the safe must be bolted to the floor
 - b. If the "Marijuana Inventory" limit is greater than \$500,000 a Central Station Fire Alarm must be connected and operational to the safe
- 3. For safes from 400 to 600 pounds:
 - a. If the "Marijuana Inventory" limit is greater than \$250,000 the safe must be bolted to the floor
 - b. If the "Marijuana Inventory" limit is greater than \$500,000 a Central Station Fire Alarm must be connected and operational to the safe
- 4. For safes over 600 pounds:
 - a. If the "Marijuana Inventory" limit is greater than \$1,000,000 a Central Station Fire Alarm must be connected and operational to the safe

VAULT WARRANTY - "MARIJUANA INVENTORY"

It is warranted that if a vault room or steel container is located within the building it will meet the requirements as indicated in MMD 00 00 01 19 Definition of a Vault.

CENTRAL STATION FIRE ALARM – SAFEGUARD REQUIREMENT

Protecting the entire building and that is connected to a central station reporting to a public or private fire alarm station.

CENTRAL STATION BURGLAR ALARM – SAFEGUARD REQUIREMENT

- 1. To cover all openings in the insured's premises
- 2. Motion detectors in all areas with the exception of living plant areas
- 3. Alarm must be in the "on" position during all non-working hours and/or whenever the insured's premises are unoccupied.

SECURITY CAMERA'S - SAFEGUARD REQUIREMENT

- 1. All security cameras must be recording, and all records must be backed up and retained for a minimum of 14 days
- 2. Interior Cameras monitoring the following:

- a. All doors and windows providing a means of egress into the building
- b. Display counters
- c. Exterior and interior of safe rooms, if on the premises
- d. Exterior and interior of all vault rooms, if on the premises
- e. Harvesting and trimming rooms, if on the premises
- 3. Exterior Cameras monitoring all means of egress to the building and the parking lot unless City Ordinances or laws prohibit monitoring of this are

CROP, MARIJUANA INVENTORY, AND STOCK DEFINITIONS

- 1. "Crop" means living plants grown for food, drugs, fibers, rubber, wood, or other purpose at any stage of life cycle and includes the following:
 - Live cannabaceae plant materials at any stage of life cycle, including but not limited to seeds, immature seedlings, plants in the vegetative growth state, unharvested buds and mature flowing plants rooted in growing medium; and
 - b. Cannabaceae plants, including any part or component of the plant, no longer in the growing medium which are in the process of being dried; or
 - c. Mature cannabaceae plant material, including any part or component of the plant, no longer in the growing medium which has been completed the drying process and is ready for sale.

"Crop" does not include Cannabaceae plants that have completed the drying process but are retained by you for further processing, extracting, refining, or manufacturing operations.

"Crop" also does not include plant material, including any part or component of the plant, no longer in the growing medium which is purchased by you for the purpose of manufacturing.

- 2. "Stock" means merchandise held in storage or for sale, raw materials and in-process or finished goods, including supplies used in their packing or shipping. "Stock" does not include" crop" or "marijuana inventory".
- 3. "Marijuana Inventory" means finished marijuana stock and products containing marijuana and/or its derivatives defined as any component of the cannabaceae family containing a tetrahydrocannabinol (THC) level of more than 0.3 percent on a dry weight basis. "Marijuana inventory" does not include "crop".

Disclosures/Warranties/Acknowledgements

Fire and Theft losses of property may be excluded if:

- a. The Central Station Alarm System is not active during non-business hours. (All doors and windows must be connected to the central station alarm system).
- b. The Video Surveillance System is not recording and backing up for 14 days prior to the loss.
- c. The seeds, finished marijuana stock/inventory, money and securities are outside the safe during non-business hours.
- d. The minimum safe and or vault requirements have not been met at the time of the loss.
- e. The building is over 20 years old and no updates have been done in the last 20 years.
- f. The safe or vault does not have a 1-hour fire rating. Fire will be excluded unless 100% covered by fire sprinklers.
- g. All Vaults must be approved in writing by the underwriter.

All Cultivation Operations are required to warrant both of the following:

Ш	I have used, or will use, a licensed, insured contractor for all electrical work at my grow facility.
	I have had, or will have within 30 days of my insurance effective date, all the wiring inspected by a licensed, insured
	contractor at my grow facility.

FRAUD WARNING: Applicable in CO: It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable

from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies. Applicable in AL, AR, DC, LA, MD, NM, RI and WV: Any person who knowingly (or willfully)* presents a false or fraudulent claim for payment of a loss or benefit or knowingly (or willfully)* presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison. *Applies in MD Only. Applicable in FL and OK: Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim or an application containing any false, incomplete, or misleading information is guilty of a felony (of the third degree) *. *Applies in FL Only. Applicable in KS: Any person who, knowingly and with intent to defraud, presents, causes to be presented or prepares with knowledge or belief that it will be presented to or by an insurer, purported insurer, broker or any agent thereof, any written statement as part of, or in support of, an application for the issuance of, or the rating of an insurance policy for personal or commercial insurance, or a claim for payment or other benefit pursuant to an insurance policy for commercial or personal insurance which such person knows to contain materially false information concerning any fact material thereto; or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act Applicable in KY, NY, OH and PA: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties (not to exceed five thousand dollars and the stated value of the claim for each such violation)*. *Applies in NY Only. Applicable in ME, TN, VA and WA: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties (may)* include imprisonment, fines and denial of insurance benefits. *Applies in ME Only. Applicable in NJ: Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil Penalties Applicable in OR: Any person who knowingly and with intent to defraud or solicit another to defraud the insurer by submitting an application containing a false statement as to any material fact may be violating state law. Applicable in PR: Any person who knowingly and with the intention of defrauding presents false information in an insurance application, or presents, helps, or causes the presentation of a fraudulent claim for the payment of a loss or any other benefit, or presents more than one claim for the same damage or loss, shall incur a felony and, upon conviction, shall be sanctioned for each violation by a fine of not less than five thousand dollars (\$5,000) and not more than ten thousand dollars (\$10,000), or a fixed term of imprisonment for three (3) years, or both penalties. Should aggravating circumstances [be] present, the penalty thus established may be increased to a maximum of five (5) years, if extenuating circumstances are present, it may be reduced to a minimum of two (2) years.

Other Conditions: Questions and information provided in this application will become part of the policy of insurance if issued. Other Terms, Conditions and Coverages will be included as part of any insurance policy issued by the insurance company. Those Terms, Conditions and Coverages may differ from what is requested in this application. am an authorized representative of understand and agree this application and any supplements attached hereto will be relied upon for issuance of any policy. I further understand and agree that failure to provide a true and accurate response to the foregoing questions may, at the option of the company, result in the voiding of the insurance issued in reliance on this application and/or denial of claims under any policy issued. I authorize and consent to investigations of information bearing upon moral character, professional reputation and fitness to engage in the activities of my business and I agree to release to the Carrier any documents, records or other information bearing upon the foregoing. I understand and agree these investigations shall not be confined to information submitted in this application, but shall include any other sources of information deemed relevant by the Company as may be authorized by law. I understand this insurance is being provided through a surplus lines company and the insurer may not be subject to all the

insurance laws and rules in my state and the risk is not protected by the State Insurance Insolvency Fund.

THIS APPLICATION MUST BE SIGNED BY APPLICANT AT BINDING AND DATED WITHIN 10 DAYS OF INCEPTION DATE. SIGNING THIS FORM DOES NOT BIND THE COMPANY TO COMPLETE THE INSURANCE AS COVERAGE BECOMES EFFECTIVE ONLY WHEN ACCEPTED BY THE INSURANCE COMPANY.

Applicant Section:		
Authorized Applicant Signature	Date Signed	Title
Name	Phone Number	Requested Effective Date
Broker Section:		
Signature of Broker	Name of Broker	Name of Firm



STATEMENT OF NO LOSS

AGENCY			NAMED INS	URED	
CONTACT NAME: PHONE			CARRIEF	₹	NAIC CODE
(A/C, No, Ext):			POLICY NUI	MBER	
(A/C, No): E-MAIL ADDRESS:					
CODE:		SUBCODE:	APPROVED	BY	
AGENCY CUSTON	MER ID:				
	I CERTIFY	THAT I AM N	OT AWARE OF	ANY LOSSES, ACCIDENTS	
	OR CIRCUN	ISTANCES T	HAT MIGHT GIV	'E RISE TO A CLAIM UNDER	
	THE INSUR	RANCE POLI	CY WHOSE NU	JMBER IS SHOWN ABOVE,	
			T	•	
	11(01)11 12.0		CANCELLATION DATE	DATE AND TIME SIGNED	
			APPLICANT'S SIGNATU		
			APPLICANT 5 SIGNATUR	KE.	
			RECEIPT		
	\$	AMOUNT RECEIV	'ED BY:		
	*	_		PRODUCER	
		WITNESS		DATE AND TIME	
		WITHLOO		DATE AND THME	
				0 4000 0000 400DD 00DD0D4TI0N AU	

ACORD 37 (2008/01)

@ 1996-2008 ACORD CORPORATION. All rights reserved.

The ACORD name and logo are registered marks of ACORD